

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 238/130	SERIAL NO. 09/191,199
	APPLICANT: Peng Cho Tang et al.	
	FILING DATE: November 12, 1998	GROUP: 1611 1624

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
BC	AA	5,330,992	07/19/94	Eissenstat et al.	514	312	
BC	AB	5,217,999	06/08/93	Levitzki et al.	514	403	
BC	AC	5,302,606	04/12/94	Spada et al.	514	337	
BC	AD	4,966,849	10/30/90	Valle et al.	435	199	

FOREIGN PATENT DOCUMENTS							
MATRIX-CUSTOMER SERVICE CENTER							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
BC	AE	0 566 266	10/20/93	EPO (Barker)	—	—	
BC	AF	94/14808	07/07/94	WO/PCT (Buzzetti et al.)	—	—	
BC	AG	94/03427	02/17/94	WO/PCT (Dobrusin et al.)	—	—	
BC	AH	92/21660	12/10/92	WO/PCT (Dow et al.)	—	—	
BC	AI	91/15495	10/17/91	WO/PCT (Dow et al.)	—	—	
	AJ	1,398,224	3/29/65	France <u>duplicate</u>	—	—	
	AK	Unknown	10/12/92	Hungary	—	—	
BC	AL	94/10202	05/11/94	WO/PCT (Johnston et al.)	—	—	
BC	AM	92/21660	12/10/92	WO/PCT (Pfizer) <u>duplicate</u>	—	—	
BC	AN	92/20642	11/6/921	WO/PCT (Spada et al.)	—	—	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
BC	AO	Akbasak and Sunar-Akbasak et al., "Oncogenes: cause or consequence in the development of glial tumors," <u>J. Neurol. Sci.</u> 111:119-133 (1992)
BC	AP	Andreani et al., "Synthesis and potential <i>coanthracyclinic</i> activity of substituted 3-(5-imidazo[2,1-b]thiazolylmethylene)-2-indolinones," <u>Eur. J. Med. Chem.</u> 32:919-924 (1997)
BC	AQ	Arteaga et al., "Blockade of the Type I Somatomedin Receptor Inhibits Growth of Human Breast Cancer Cells in Athymic Mice," <u>J. Clin. Invest.</u> 84:1418-1423 (1989)
BC	AR	Baserga, "Oncogenes and the Strategy of Growth Factors," <u>Cell</u> 79:927-930 (1994)
BC	AS	Baserga, "The Insulin-like Growth Factor I Receptor: A Key to Tumor Growth?" <u>Cancer Research</u> 55:249-252 (1995)
	AT	Beilstein Reg. No. 252929 Beilstein
BC	AU	Biggs and Sykes, "Two Isomeric Homologues of Thiamine," <u>J. Chem. Soc.</u> pp. 1849-1854 (1959)
BC	AV	Bolen et al., "The Src family of tyrosine protein kinases in hemopoietic signal transduction," <u>FASEB J.</u> 6:3403-3409 (1992)
BC	AW	Bolen, "Nonreceptor tyrosine protein kinases," <u>Oncogene</u> 8:2025-2031 (1993)
BC	AX	Bonner et al., "Structure and Biological Activity of Human Homologs of the <i>raf/mil</i> Oncogene," <u>Molecular and Cellular Biology</u> 5:1400-1407 (1985)

EXAMINER: <i>Brenda Coleman</i>	DATE CONSIDERED: <i>March 15, 2000</i>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.	

BEST AVAILABLE COPY

FORM PTO-1449	ATTY. DOCKET NO. 238/130	SERIAL NO. 09/191,199
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT: Peng Cho Tang et al.	
(Use several sheets if necessary)	FILING DATE: November 12, 1998	GROUP: 1611 1624

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
BC	AY	Coppola et al., "A Functional Insulin-Like Growth Factor I Receptor is Required for the Mitogenic and Transforming Activities of the Epidermal Growth Factor Receptor," <u>Molecular and Cellular Biology</u> 14:4588-4595 (1994)
BC	AZ	Daisley and Hanbali, "Synthesis of 5-Methyl and 7-Methyl-4-Azaindol-2(3H)-Ones," <u>Synth. Commun.</u> 5:53-57 (1975)
BC	BA	Daisley and Hanbali, "A Short Synthesis of 4- and 6-Azaindol-2(3H)-Ones," <u>Synth. Commun.</u> 11(9):743-749 (1981)
BC	BB	Decker and Lohmann-Matthes, "A quick and simple method for the quantitation of lactate dehydrogenase release in measurements of cellular cytotoxicity and tumor necrosis factor (TNF) activity," <u>J. Immunol. Methods</u> 15:61-69 (1988)
BC	BC	Dickson et al., "Tyrosine kinase receptor -- nuclear protooncogene interactions in breast cancer," <u>Cancer Treatment Res.</u> 61:249-273 (1992)
BC	BD	Fantl et al., "Distinct Phosphotyrosines on a Growth Factor Receptor Bind to Specific Molecules That Mediate Different Signaling Pathways," <u>Cell</u> 69:413-423 (1992)
BC	BE	Fingl and Woodbury, "Chapter 1 - General Principles," in <u>The Pharmacological Basis of Therapeutics</u> 5th edition, Goodman and Gilman editors, MacMillan Publishing Co., Inc., New York, pp. 1-46 (1975)
BC	BF	Floege et al., "Factors involved in the regulation of mesangial cell proliferation <i>in vitro</i> and <i>in vivo</i> ," <u>Kidney International</u> 43:S47-S54 (1993)
BC	BG	Goldring and Goldring, "Cytokines and Cell Growth Control," <u>Critical Reviews in Eukaryotic Gene Expression</u> 1:301-326 (1991)
BC	BH	Honegger et al., "Point Mutation at the ATP Binding Site of EGF Receptor Abolishes Protein-Tyrosine Kinase Activity and Alters Cellular Routing," <u>Cell</u> 51:199-209 (1987)
BC	BI	<u>J. Amer. Chem. Soc.</u> 33:763 (1911)
BC	BJ	Jellinek et al., "Inhibition of Receptor Binding by High-Affinity RNA Ligands to Vascular Endothelial Growth Factor," <u>Biochemistry</u> 33:10450-10456 (1994)
BC	BK	Kendall and Thomas, "Inhibition of vascular endothelial cell growth factor activity by an endogenously encoded soluble receptor," <u>Proc. Natl. Acad. Sci. USA</u> 90:10705-10709 (1993)
BC	BL	Kim et al., "Inhibition of vascular endothelial growth factor-induced angiogenesis suppresses tumour growth <i>in vivo</i> ," <u>Nature</u> 362:841-844 (1993)
BC	BM	Kinsella et al., "Protein Kinase C Regulates Endothelial Cell Tube Formation on Basement Membrane Matrix, Matrigel," <u>Exp. Cell Research</u> 199:56-62 (1992)
BC	BN	Koch et al., "SH2 and SH3 Domains: Elements That Control Interactions of Cytoplasmic Signaling Proteins," <u>Science</u> 252:668-674 (1991)
BC	BO	Korc et al., "Overexpression of the Epidermal Growth Factor Receptor in Human Pancreatic Cancer is Associated with Concomitant Increases in the Levels of Epidermal Growth Factor and Transforming Growth Factor Alpha," <u>J. Clin. Invest.</u> 90:1352-1360 (1992)
BC	BP	Korzeniewski and Callewaert, "An Enzyme-Release Assay for Natural Cytotoxicity," <u>J. Immunol. Methods</u> 64:313-320 (1983)
BC	BQ	Kumbae et al., "Amplification of α -platelet-derived growth factor receptor gene lacking an exon coding for a portion of the extracellular region in a primary brain tumor of glial origin," <u>Oncogene</u> 7:627-633 (1992)
BC	BR	Kumar et al., "Synthesis of 7-Azaindole and 7-Azaoxindole Derivatives through a Palladium-Catalyzed Cross-Coupling Reaction," <u>J. Org. Chem.</u> 57:6995-6998 (1992)
BC	BS	La Manna et al., "Sulla Sintesi Di 7-Azaossindoli 5-Sostituiti," <u>Boll. Chim. Farm.</u> 112:22-28 (1973) (IN SPANISH WITH ENGLISH ABSTRACT)

BEST AVAILABLE COPY

EXAMINER: Brenda Coleman	DATE CONSIDERED: March 15, 2000
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.	

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 238/130	SERIAL NO. 09/191,199
	APPLICANT: Peng Cho Tang et al.	
	FILING DATE: November 12, 1998	GROUP: 1611 1624

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
BC	BT	Lee and Donoghue, "Intracellular retention of membrane-anchored v-sis protein abrogates autocrine signal transduction," <u>Journal of Cell Biology</u> 118:1057-1070 (1992)
BC	BU	Macauley et al., "Autocrine function for insulin-like growth factor I in human small cell lung cancer cell lines and fresh tumor cells," <u>Cancer Research</u> 50:2511-2517 (1990)
BC	BV	Mariani et al., "Inhibition of angiogenesis by PCE 26806, a potent tyrosine kinase inhibitor," <u>Experimental Therapeutics - Proceedings of the American Association for Cancer Research</u> 35:381 at abstract no. 2268 (March 1994)
BC	BW	Morrison et al., "Signal Transduction From Membrane to Cytoplasm: Growth Factors and Membrane-Bound Oncogene Products Increase Raf-1 Phosphorylation and Associated Protein Kinase Activity," <u>Proc. Natl. Acad. Sci. USA</u> 85:8855-8859 (1988)
BC	BX	Mosmann, "Rapid Colorimetric Assay for Cellular Growth and Survival: Application to Proliferation and Cytotoxicity Assays," <u>J. Immunol. Methods</u> 65:55-63 (1983)
BC	BY	Okuda and Robison, "7-Azaindole. V. Investigations of Alternative Syntheses of the Ring System," <u>J. Amer. Chem. Soc.</u> 81:740-743 (1959)
BC	BZ	Plowman et al., "Receptor Tyrosine Kinases as Targets for Drug Intervention," <u>DN&P</u> 7(6):334-339 (1994)
BC	CA	Robinson and Donahue, "Synthesis of 5-Azaoxindole," <u>J. Org. Chem.</u> 56:4805-4806 (1991)
BC	CB	Rygaard and Povlsen, "Heterotransplantation of a Human Malignant Tumour to 'Nude' Mice," <u>Acta path. microbiol. scand.</u> 77:758-760 (1969)
BC	CC	Schlessinger and Ullrich, "Growth Factor Signalling by Receptor Tyrosine Kinases," <u>Neuron</u> 9:383-391 (1992)
BC	CD	Slamon et al., "Studies of the HER-2/neu Proto-oncogene in Human Breast and Ovarian Cancer," <u>Science</u> 244:707-712 (1989)
BC	CE	Songyang et al., "SH2 Domains Recognize Specific Phosphopeptide Sequences," <u>Cell</u> 72:767-778 (1993)
BC	CF	Songyang et al., "Specific Motifs Recognized by the SH2 Domains of Csk, 3BP2, fps/fes, GRB-2, HCP, SHC, Syk and Vav," <u>Molecular and Cellular Biology</u> 14:2777-2785 (1994)
BC	CG	Superti-Furga et al., "A functional screen in yeast for regulators and antagonizers of heterologous protein tyrosine kinases," <u>Nature Biotech</u> 14:600-605 (1996)
BC	CH	Superti-Furga et al., "Csk inhibition of c-Src activity requires both the SH2 and SH3 domains of Src," <u>EMBO J.</u> 12:2625-2634 (1993)
BC	CI	Takano et al., "Inhibition of angiogenesis by a novel diaminoanthraquinone that inhibits protein kinase C," <u>Mol. Bio. Cell</u> 4:358A at abstract no. 2076 (1993)
BC	CJ	Torp et al., "Expression of the Epidermal Growth Factor Receptor Gene in Human Brain Metastases," <u>AMPIS</u> 100:713-719 (1992)
BC	CK	Tuzi et al., "Expression of growth factor receptors in human brain tumours," <u>Br. J. Cancer</u> 63:227-233 (1991)
BC	CL	Voller et al., "Ch. 45 - Enzyme-Linked Immunosorbent Assay," in <u>Manual of Clinical Immunology</u> , 2 nd edition, Rose and Friedman editors, American Society of Microbiology, Washington, D.C., pp. 359-371 (1980)
BC	CM	Wright et al., "Inhibition of Angiogenesis Vitro and In Ovo With an Inhibitor of Cellular Protein Kinases, MDL 27032," <u>J. Cellular Physiology</u> 152:448-457 (1992)

BEST AVAILABLE COPY

EXAMINER: Brenda Coleman	DATE CONSIDERED: March 15, 2000
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.	